

Variable Speed Distributed Drive Train Wind Turbine System

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority under 35 U.S.C. § 119(e) to U.S. Provisional Patent Application Number 60/468,899 Variable Speed Wind Turbine Technology, which was filed on May 7, 2003 and which is incorporated herein by reference.

This application is related to US Patent 6,304,002; US Patent Application number 10/213,764 of Amir S. Mikhail and Edwin C. Hahlbeck entitled "Improved Distributed Power Train That Increases Electric Power Generator Density" filed August 7, 2002, US Patent Application Number 09/920,247 of Peter Stricker, entitled "Distributed Generation Drivetrain (DGD) Controller For Application To Wind Turbine and Ocean Current Turbine Generators" filed July 31, 2001; US Patent Application Number 10/426,287 Kevin L. Cousineau : Distributed Static VAR Compensation (DSVC) System For Wind And Water Turbine Applications" filed April 30, 2003, and US Patent Application number 10/449,342 of Amir S. Mikhail and Edwin C. Hahlbeck entitled "Improved Distributed Power Train (DGD) with Multiple Power Paths " filed May 31, 2003, all of which are assigned to Clipper Windpower Technology, Inc. and are incorporated herein by reference.

BACKGROUND OF THE INVENTION

Field Of The Invention

The invention relates to fluid-flow turbines, such as wind turbines under water current turbines, and to other prime movers, and more particularly to variable speed turbines employing multi-phase generators with power conversion technology for torque control and rotor blade pitch for turbine speed and load control.

DESCRIPTION OF THE PRIOR ART

The development of practical, wind-powered generating systems creates problems, which are unique and not encountered in the development of conventional power generating systems. These problems are similar in nature to under water current turbines, mining equipment and wind tunnel boring equipment. The natural variability of the wind affects the nature and quality of the electricity produced and the relationship

1 between the velocity of the tip of a turbine blade and the wind velocity affects the
2 maximum energy that may be captured from the wind. These issues together with
3 mechanical fatigue due to wind variability have a significant impact on the cost of wind
4 generated electricity.

5 Historically, wind turbines have been operated at constant speed. The power
6 delivered by such a wind turbine is determined by the torque produced by blades and
7 main shaft. The turbine is typically controlled by a power command signal, which is fed
8 to a turbine blade pitch angle servo, referred herein as a Pitch Control Unit or PCU. This
9 servo controls the pitch of the rotor blades and therefore the power output of the wind
10 turbine. Because of stability considerations, this control loop must be operated with a
11 limited bandwidth and, thus, is not capable of responding adequately to wind gusts. In
12 this condition, main-shaft torque goes up and transient power surges occur. These power
13 surges not only affect the quality of the electrical power produced, but they create
14 significant mechanical loads on the wind turbine itself. These mechanical loads further
15 force the capital cost of turbines up because the turbine structures must be designed to
16 withstand these loads over long periods of time, in some cases 20 – 30 years.

17 To alleviate the problems of power surges and mechanical loads with constant
18 speed wind turbines, the Wind Power industry has been moving towards the use of
19 variable speed wind turbines. A variable speed wind turbine employs a converter between
20 the generator and the grid. Because the turbine generator is now decoupled from the grid,
21 the frequency and voltage at which the generator operates is independent of the constant
22 voltage, constant frequency of the grid. This permits variable speed operation. Two
23 classes of power converter have been employed in this application. The first is referred to
24 as a full conversion system, which is inserted between the generator and grid as
25 described. In this approach, the converter carries all of the generated power. An example
26 of this type of system is described in U.S. Pat. No. 5,083,039, entitled "Variable Speed
27 Wind Turbine", issued Jan. 21, 1992. In the second class, the converter is placed between
28 a portion of the generator and the grid, usually the rotor circuit. This approach is used
29 because the converter only needs to be sized for a portion of the total power. This is
30 referred to as partial conversion and an example of this approach is described in US

1 Patent No. 6,137,187, US Patent No. 6,420,795 and US Patent No. 6,600,240 all entitled
2 “Variable Speed Wind Turbine Generator”.

3 The variable speed wind turbine disclosed in US Patent 5,083,039 comprises a
4 turbine rotor that drives a pair of AC squirrel cage induction generators with two
5 respective power converters. The converters contain an active rectifier that controls the
6 generator torque by means of a high-performance field-orientation method. The converter
7 also contains an inverter section, which is synchronized to the AC line and controls the
8 DC bus voltage by maintaining a power balance between the generator and the AC grid.
9 The converter is inherently bi-directional and can pass power in both directions. The
10 inverter section of the converter is capable of shifting the current waveform relative to the
11 grid voltage and variable reactive power, or power factor can be controlled in this way.
12 With an induction generator, this system requires an active rectifier as the magnetizing
13 component of the generator must be supplied by the DC bus through proper control of the
14 active rectifier.

15 US Patents 6,137,187, 6,420,795, and 6,600,240 describe a partial conversion
16 variable speed system for use in wind turbines. The system comprises a wound rotor
17 induction generator, a torque controller and a proportional, integral derivative (PID) pitch
18 controller. The torque controller controls generator torque using field-oriented control (on
19 the rotor) and the PID controller performs pitch regulation based on generator rotor
20 speed. Like the 5,083,039 patent, power flow is bi-directional within the rotor of the
21 generator and an active rectifier and grid inverter is used for the conversion process. The
22 converter used in this system is rated at only a portion of the total turbine rating, with the
23 rating depending on the maximum generator slip used in the turbine design. The
24 converter controls the current and frequency in the rotor circuit only with a direct grid
25 connection to the utility. Because the generator operates at sub-synchronous and super-
26 synchronous speeds, the converter must also be bi-directional just as in the 5,083,039
27 case. In addition to the converter controlling torque in this system, the converter is
28 capable of controlling system reactive power or power factor. This is accomplished by
29 under/over exciting the generator rotor circuit along its magnetization axis. The converter
30 is placed off line from the stator connection to the grid and only handles rotor power
31 input and output. The control of the pitch system is also covered in this patent. The pitch

1 system simply responds to a speed error through a proportional, integral, derivative
2 controller (PID) to call for the correct pitch angle to maintain speed. A further advantage
3 of variable speed wind turbines is that through the use of their solid-state power
4 conversion technology, utility interconnection power quality requirements have been
5 improved beyond that achievable with constant speed wind turbines. Variable speed
6 turbines have inherently better power regulation qualities resulting in less line voltage
7 flicker. This allows these machines to meet demanding power quality standards such as
8 IEEE 519.

9 By properly controlling the torque and pitch on the variable speed turbine, an
10 increase in energy capture and load reduction is possible. This, together with the
11 improved power quality, makes the variable speed turbine economically attractive for
12 electrical power generation.

13 **SUMMARY OF THE INVENTION**

14 Briefly, the present invention relates to a variable speed wind turbine having at
15 least one or more blades, one or more generators, one or more power conversion systems
16 for actively converting the generator variable frequency and variable voltage into fixed
17 frequency, and fixed voltage for consumption by the interconnected utility grid. The
18 turbine contains a servomechanism necessary to control the turbine blade pitch angle,
19 called herein the Pitch Control Unit or PCU, and a means of controlling generator torque
20 through commands send to a Generator Control Unit or GCU. A Turbine Control Unit or
21 TCU is responsible for coordinating the control of generator torque and blade pitch in a
22 way which maximizes the energy capture of the turbine while minimizing the mechanical
23 loads.

24 An advantage of the invention is that the power conversion system is a
25 unidirectional passive rectifier/ active inverter. The passive rectifier permits a higher
26 efficiency than previous active rectifiers. This conversion system together with a high
27 efficiency synchronous generator provide for very high total drive train efficiency. In the
28 multiple generator implementation described herein, efficiency is further enhanced at low
29 power levels by staging generators and allowing these generator to operate at or near their
30 optimal efficiency.

1 A further advantage of the invention is that the power conversion system or GCU
2 is capable of responding to torque commands from the TCU and providing the requested
3 torque without the use of any field orientation or other sophisticated control algorithm.
4 The simplicity of this approach allows the converter to control the generator torque
5 without the use of a generator position encoder or generator speed tachometer, which
6 enhances reliability and eliminates tachometer related failure modes. In fact the use of
7 synchronous generators allows the generator to be used as a system tachometer. In this
8 capacity with multiple generators, a secondary, redundant tachometer is inherently
9 provided as required by codes and certification bodies using only the generators already a
10 part of the system.

11 The invention also allows for main-shaft damping without the use of a generator
12 tachometer. Because of the synchronous generators used with a passive rectifier, the
13 main-shaft resonant frequency due to the blade inertia, compliant main-shaft and bull
14 gear inertia can be sensed in the DC link voltage. The DC bus voltage is monitored and
15 passed through a band pass filter which is tuned at the mains-shaft resonant frequency, this
16 filtered signal can then be scaled and applied to the inverter system torque command and
17 active damping is achieved.

18 In accordance with an aspect of the invention, the inverter runs at a fixed power
19 factor angle near, or at unity, and is not controllable. This is advantageous as operating
20 the wind turbines at a unity power factor reduces the balance of system costs such as the
21 cable running to the turbines within a wind plant. For conditions where wind plant power
22 factor needs to be varied dynamically, the function is performed with a separate power
23 factor apparatus at the substation connection of a group of turbines. This provides the
24 lowest-cost wind-plant design, as there is no over sizing of in-plant balance of system
25 items such as pad-mount transformers and conductors. This normal increased-sized
26 requirement is moved to the substation. With this turbine design there is no incremental
27 cost associated with a requirement for over-sized conductors and transformers.

28 In accordance with an aspect of the invention the large, protection coordinated,
29 synchronous reactance in the generators prevent high fault currents from occurring and
30 significantly simplify the protective functions associated with running DC pendant cables
31 down the tower. In the past, DC pendant cables were dismissed because of the prohibitive

1 cost of protective switchgear and functions created by high power DC power transfer.
2 The high reactance (300% fault current for a 33% synchronous reactance) fundamentally
3 limits the available fault current and DC pendant cables become practical. Careful co-
4 ordination of the generators' reactance and DC pendant cable sizing permit the transfer of
5 high power over DC pendant cables and reduce the total amount of copper being used in
6 the pendant cables. Thus power may be generated and rectified in the nacelle and the rest
7 of the power conversion system may be placed at the base of the tower.

8 In accordance with a further aspect of the invention, the TCU controls the turbine
9 blade pitch angle via the Pitch Control Unit (PCU) as well as the generator torque via the
10 Generator Control Unit (GCU). The TCU provides a complicated, coordinated control
11 function to both of these elements, and does so in a way, which maximizes the energy
12 capture of the turbine while minimizing the mechanical loads. A description of how this
13 is accomplished is provided in the detailed description. The TCU uses many necessary
14 inputs to complete this coordination function between torque and pitch. Typical TCU
15 inputs include turbine speed, blade pitch angle, tower acceleration (vibration), nacelle
16 acceleration (nacelle vibration), wind speed, wind direction, wind turbulence, nacelle
17 position, AC line parameters, DC bus voltage, generator voltage, power output, reactive
18 power output, and others. Loads which are controlled and mitigated by the TCU include
19 tower vibration, nacelle vibration, generator torque, and blade loads.

20
21 A summary of advantages of the present invention include:

- 22 1) Very high conversion efficiency generator + converter,
- 23 2) Simple unidirectional power converter system, ,
- 24 3) Simple torque control which does not depend on field orientation or tachometry,
- 25 4) Fixed power factor at the wind turbine, controllable at the wind plant aggregated
26 level,
- 27 5) Coordinated control of pitch and torque to control all loads in aggregate,
- 28 6) Use of the generator(s) as a system tachometer(s),
- 29 7) Use of the converter DC bus to dampen main shaft and other mechanical resonant
30 modes,
- 31 8) Fault coordinated DC pendant cables,

1 9) Generator and system redundancy,

2 10) Staged operation of generators for highest possible efficiency even at low output
3 power.

4 **BRIEF DESCRIPTION OF THE DRAWINGS**

5 The invention and its mode of operation will be more fully understood from the
6 following detailed description when taken with the appended drawings in which:

7 **FIGURE 1** is a block diagram of the variable speed wind turbine in accordance with the
8 present invention highlighting the key turbine elements;

9 **FIGURE 2** is a figure of the power curve identifying different control zones;

10 **FIGURE 3** is a detailed diagram of the power converter system;

11 **FIGURE 4** is a block diagram of the main-shaft damping filter;

12 **FIGURE 5** is a representation of the TCU with its inputs and outputs;

13 **FIGURE 6** is a figure showing the distributed drive train with four high-speed shafts;
14 and,

15 **FIGURE 7** is a figure showing the Dynamic VAR control system.

17 **DETAILED DESCRIPTION OF THE INVENTION**

18 The variable-speed wind-turbine generator system is broadly shown in **FIGURE**
19 **1**. There are six basic components of the system: Firstly a turbine drive train including a
20 rotor hub mounted pitch servo system or PCU 102, blade rotor 103, distributed
21 generation gearbox 104 and four permanent magnet generators 106, 108, 110, 112;
22 secondly generator rectifier units 114, 116, 118, 120; thirdly a control system comprising
23 a generator control unit (GCU) 122 and a turbine control unit (TCU) 132; fourthly four
24 independent inverters, 136, 138, 140, and 142; fifthly individual line filters for each
25 converter, 124, 126, 128, 130; and sixthly a pad-mount transformer, 134. Additionally
26 shown in **FIGURE 1** is a sensor for measuring turbine speed on the low speed shaft, 144.
27 It should be noted that for the purposes of illustration a system using four independent
28 power conversion systems including generator, filter, inverter, rectifier, etc. is illustrated
29 herein. A turbine using a greater or smaller number of independent power conversion
30 systems, including a system using a single power conversion system, is conceived and
31 included within the scope of the disclosed invention.

1 The turbine comprises one or more rotor blades 103 connected, via a rotor hub
2 mounted pitch-angle servo (PCU) 102, which is powered through slip rings. While the
3 pitch system is described as utilizing a servo drive located in the wind turbine's hub, it is
4 within the scope of the invention that the pitch system could alternatively utilize a
5 hydraulic, pneumatic, or other type of pitch actuator and the pitch actuator could be
6 located in the nacelle of the turbine rather than in the hub. The hub is mechanically
7 connected to the turbine main-shaft, which transmits the turbine's torque. The turbine
8 shaft is coupled via a gearbox 104 and some suitable coupling device to, in this example,
9 four permanent magnet or wound field synchronous generators 106, 108, 110, 112. The
10 generator electrical output is connected to the rectifiers, 114, 116, 118, and 120 shown
11 which converts the electrical power to DC voltage and current. The DC power is then
12 transmitted to the inverters, 136, 138, 140, and 142 as shown. The inverter regulates the
13 DC current and by doing so, the generator torque is controlled. The inverter regulates this
14 DC current by synchronizing to the grid and by supplying unity power factor current into
15 the grid system. The control of the inverters is provided by a generator control unit
16 (GCU) 122. The GCU, 122 takes inputs such as grid voltage, DC bus voltage, grid
17 current, and commands such as torque level from a Turbine Control unit (TCU) 132.
18 These commands are converted into pulse-width-modulated (PWM) signals which tell
19 switching devices (such as Insulated-Gate-Bipolar- Transistors, IGBTs, Metal-Oxide-
20 Semiconductor-Field-Effect-Transistors, MOSFETs, , Gate-Turn-Off devices, GTOs, or
21 Silicon-Controlled-Rectifiers or SCRs' etc) in the inverter when to turn on and off. These
22 switches are controlled in such a way as to maintain regulated DC current. Line filters,
23 124, 126, 128,130 are then used to reduce any harmonics that may have been generated
24 by the inverter before passing power to a pad-mount transformer 134.

25 The TCU 132 and GCU 122 in **FIGURE 1** work together to stage the generators
26 106, 108, 110, 112, when the turbine is operating at less than full power rating. The
27 controller brings each generator of the plurality of synchronous generators in the turbine
28 online sequentially in the event of low energy conditions of the source of energy (wind,
29 water, etc.) to improve system efficiency at low power. The controller may optionally
30 alternate the sequence in which the controller shifts the order in which said generators are
31 brought online such that each generator receives substantially similar utilization.

1 This can be seen in **FIGURE 2** as the area of a power curve labeled Zone 2 where
2 the amount of power produced is directly related to wind speed. The TCU is initiated
3 with a master and slave selection. For example, upon initiation, generator 106 may be
4 selected as the master generator and generators 108, 110, and 112 would respectively be
5 slave 1, slave 2, and slave 3. If this is the initiation sequence then at very low power
6 levels at the beginning of Zone 2 only the master generator would produce power. As the
7 turbine comes up the power curve, slave 1 would be brought on line, then slave 2, and
8 finally slave 3 would be brought on line as full power is achieved through Zone 3. The
9 exact set points as to when a generator would come on line and when it would be dropped
10 off would depend on a specific generator design and other system parameters. One of
11 ordinary skill in the art would be capable of selecting appropriate set points for a specific
12 generator and wind turbine design. The TCU also provides hysteresis as to when a
13 generator comes on line and when it drops off. This prevents a generator from constantly
14 be energized and de-energized which would reduce the life of certain components in the
15 power conversion system. In addition to staging generators, the TCU receives operational
16 time and power levels on the four generators from the GCU and after a period of time and
17 power levels, the TCU shifts the designated master, slave1, 2, 3, designation. This is done
18 so as not to accumulate a disproportional number of hours on any one generator. The
19 algorithm used for switching the designators is essentially an accumulated kWh
20 calculation. However, other time or power relationships can be applied to determine the
21 master and slave generators where specific equipment dictates.

22 The locations of the elements in **FIGURE 1** are not critical to the operation of the
23 invention. For example, in one implementation, the rectifiers are placed up-tower and the
24 DC voltage and current are run over approximately 200 to 300 feet of conductors to an
25 inverter located at ground level below the turbine. Likewise, the location of the line filters
26 and pad-mount transformers are not critical to the invention. The GCU does need to be
27 mounted in the inverter cabinet to keep pulse-width-modulated signals as short as
28 possible. Also, the TCU is typically mounted up-tower where the various sensors are
29 located. This is done to prevent running the sensor leads down the tower over long
30 distances.

1 The preferred approach in the invention is to place the passive rectifier uptower
2 and convert the synchronous generator AC voltage to DC. This results in a higher
3 operating voltage on the pendant cables and lower total quantity of cables as each
4 generator/rectifier now has two conductors associated with it rather than three conductors
5 each. The DC pendant cables are only possible because of the coordinated high
6 impedance of the synchronous generator, which limits the DC fault current in the event of
7 a ground or pendant cable fault. The GCU which senses the DC bus voltage and current
8 sense this fault condition and bring the turbine to zero speed very quickly. While this
9 takes a finite amount of time, the current does not build up as it would with a low
10 impedance case and the shutdown is very controlled and orderly.

11 **FIGURE 3** is a detailed depiction of one of the power conversion systems from
12 **FIGURE 1**. It includes a generator 106, rectifier 114, inverter 136, line filter 124, the
13 GCU 122 and the TCU 132 for discussion purposes. The synchronous generator 106
14 rotates due to the aerodynamic torque caused by wind passing over the rotor blades at a
15 given rotor pitch angle. This torque causes the generator 106 to accelerate. As the
16 generator speeds up, the TCU commands a reacting torque which then causes the wind
17 turbine to run at a certain speed for the given wind conditions. The relationship between
18 torque, or power, and speed in a wind turbine is referred to as a power curve as is shown
19 in **FIGURE 2**. In this implementation, the power curve is stored in the TCU 132 and
20 torque commands are passed from the TCU 132 to the GCU 122 over a communication
21 link 146. Electrical power is passed to the rectifier 114, which contains six passive diode
22 elements 147. The generator could be of a higher phase count than three phases in which
23 case the number of diode elements 147 would have to increase or decrease in a
24 corresponding manner. As an example, a two-phase generator would require 4 diode
25 elements, and a six-phase generator would require 12 diode elements. The inverter 136
26 consists of six switching elements 160 as shown. In **FIGURE 3** these are shown as IGBT
27 devices with integral flyback diodes. The switching elements could easily be SCRs,
28 GTOs, or MOSFETs, or other self-commutated semiconductor devices. The inverter also
29 may contain a DC inductor 158 and/or DC capacitor 156, which form a filter to smooth
30 out the ripple current from the generator/rectifier and provide a low impedance DC bus
31 for power semiconductor switching. A Line filter 124 may be used to remove or reduce

1 harmonic content in the AC grid connections. A number of different filter configurations
 2 are possible including a simple series AC reactor and a more complicated inductor-
 3 capacitor-inductor pi filter, as well as many others. AC grid voltage measurement 164
 4 and current measurement 162 are used by the GCU for purposes of synchronizing the
 5 inverter to the AC grid. Finally the DC bus voltage is measured 148 and is used by the
 6 GCU to determine certain fault status and to provide the active main-shaft damping as
 7 will be discussed later. The DC current out of the rectifier is measured 166 for purposes
 8 of regulating the DC current and for controlling the generator torque. A measurement of
 9 DC current may not be necessary in one implementation wherein the current is estimated
 10 in real time by dividing the DC power by the DC voltage to obtain the DC current. The
 11 advantage of this approach is that it eliminates a DC current sensor, thus providing a
 12 more reliable system.

13 **FIGURE 4** is a block diagram showing the algorithm executed in software of a
 14 main-shaft damping filter. The main-shaft-damping filter is performed as shown in the
 15 GCU 122. The GCU has software, which contains a bandpass filter 166, which is tuned at
 16 the main-shaft resonant frequency. This frequency is typically in the range of 2 – 7 Hz.
 17 depending upon machine size, inertias, and main-shaft stiffness. The input to the
 18 bandpass filter is the DC bus voltage measurement 148 and the output of the filter is
 19 transmitted to a gain block 168 with optionally adjustable gain. The intention of
 20 adjustable gain is to allow tuning to be performed on individual wind turbines. The
 21 output of the gain block is passed to a summing junction 170 where it is added to the
 22 torque command, which arrives from the TCU over the communication link 146. The
 23 torque command is converted to a current command by a gain block 172. Also summed at
 24 this point is DC current feedback 166. The result of the summing junction 170 is a torque
 25 error 174 which is further processed in the GCU to bring the DC current up or down
 26 depending upon the magnitude and sign of the error signal. In wind turbines where
 27 multiple resonant modes are possible, multiple bandpass filters, each tuned at
 28 corresponding resonant frequencies and then summed at the summing junction are
 29 possible to suppress multiple modes. This is shown in dotted lines in **FIGURE 4**. One
 30 such situation is one wherein the generator's high-speed shaft resonant frequency, at
 31 around 15 Hz, is significant and requires damping.

FIGURE 5 is a representation of the role of the TCU 132. The TCU 132 takes sensor information such as turbine speed, blade pitch angle, tower acceleration (vibration), nacelle acceleration (nacelle vibration), wind speed, wind direction, wind turbulence, nacelle position, AC line parameters, DC bus voltage, generator voltage, power output, and other fault related sensors. The TCU 132 has control of the two principle actuators on the turbine; the generators via the GCU 122, and the pitch system (PCU) 178. The TCU 132 performs a complicated, coordinated control function for both of these elements, and does so in a way, which maximizes the energy capture of the turbine while minimizing the machine's mechanical loads. A detailed description of this operation based on turbine operating regime is presented below. Finally, the TCU 132 also controls the yaw system 180, however, since this system responds very slowly to changing wind direction, the system operation is straight-forward and works to keep the turbine always pointed into the wind. The TCU 132 is also in communication with the turbine's SCADA system 179 in order to provide and receive sensor and status information.

The control of the turbine is accomplished by varying the turbine blade pitch and generator torque to achieve two primary objectives:

First, in Zone 2 of the turbines power curve, (see **FIGURE 2**) the blade pitch angle and the turbine tip-speed-ratio are held constant or nearly constant to provide maximum power output from the turbine. Constant tip-speed ratio simply means that the rotational speed goes up proportionally to the wind speed. This is accomplished by varying the torque to control the rotor speed so that it tracks the variation in the wind speed. On average, the torque follows a quadratically increasing function of either rotor speed or wind speed. The pitch angle is set to a fixed value in this zone, which corresponds to the maximum aerodynamic energy capture of the blade.

Second, in zone 3 of the power curve, (see **FIGURE 2**) the desired generator torque and rotor speed are constant values giving a constant average output power. This control is accomplished by holding generator torque fixed and varying the blade pitch angle to regulate the rotor speed deviations from the desired value under varying wind speed conditions.

1 The algorithms used to accomplish these control objectives can be based on either
2 classical single loop control methods (see e.g. E. A. Bossanyi, “Developments in Closed
3 Loop Controller Design for Wind Turbines”, *Proc. 2000 ASME Wind Energy Symp.*,
4 Reno, Nevada, Jan.10-13, 2000 (AIAA-2000-0027), pp. 64-74, incorporated herein by
5 reference) or more advanced state space control methods (see e.g. A. Wright and M.
6 Balas, “Design of State-Space-Based Control Algorithms for Wind Turbine Speed
7 Regulation,” *Proc. 2002 ASME Wind Energy Symp.*, Reno, NV, Jan. 14-17, 2002,
8 (AIAA-2002-0052), pp. 299-309, incorporated herein by reference). In either case, the
9 two control input variables, blade pitch and generator torque depend on the past history
10 of the measured rotor speed as well as measured or computed values of the blade pitch
11 angle and generator torque controls.

12 The gearbox shown in **FIGURE 6** is disclosed in the above-identified copending
13 US Patent Application number 10/449,342. The main shaft 500 transmits torque to a pair
14 of bull gears, 502, 504. A number of intermediate gears 514, 516, 518, 520 are located
15 around a perimeter of the bull gears. A plurality of high-speed output shafts 542, 544,
16 546, 548 engage adjacent intermediate gears. Since each intermediate gear (e.g. 514)
17 engages with two output shafts 542, 544, significant size reduction of the intermediate
18 gears 514, 516, 518, 520 and the output shafts 542, 544, 546, 548 results. Adjacent pairs
19 of intermediate gears 514, 516, 518, 520 drive the output shafts 542, 544, 546, 548,
20 resulting in torque sharing of a high-speed stage comprised of two intermediate gears and
21 one output shaft. Tooth pressure between intermediate gears 514, 516, 518, 520 and
22 output shafts 542, 544, 546, 548 is unidirectional, that is, not reversing, allowing higher
23 loads than other systems such as planetary gear systems. A generator is connected to each
24 output shaft. The generators can be stand alone systems with external couplings or fully
25 integrated with the high speed output shafts 542, 544, 546, 548 shown in **FIGURE 6**.
26 The torque on the low speed stage is also split through a double helix bull gear (502) with
27 uneven helix angle matching the low speed pinions (510).

28 What has been described is a variable speed wind turbine employing a turbine
29 rotor connected to a distributed generation drive train gearbox and two or more
30 synchronous generators with wound field or permanent magnet rotors. A passive rectifier

1 is included for each generator along with one or more inverters used to convert the DC
2 power back to constant frequency AC for utility grid interconnection.

3 A Turbine Control Unit (TCU) and Generator Control Unit (GCU) command the
4 proper generator torque required based on the rotor speed and power output of the turbine
5 inverter system as well as providing any active damping requirements. Torque control is
6 accomplished through inverter current commands generated by the TCU and GCU. In
7 high winds the turbine remains at a constant average output power through a constant
8 torque command from TCU and GCU and the TCU provides a varying pitch command to
9 the hub mounted pitch servo system.

10 These control commands can be independent or can be a part of State Space
11 Control presentation. In this circumstance, the torque and speed are a subset of the
12 turbine state space that include other parameters such as pitch rate, pitch acceleration and
13 various turbine loads.

14 As shown in **FIGURE 7**, a wind turbine farm, under-water turbine farm, other
15 fluid-flow farm, or other source of energy turbine farm can be installed using turbines
16 according to the present invention with advantageous power factor control. The wind
17 farm consists of a multiplicity of individual wind turbines 710, each of which has a
18 synchronous generator, a passive rectifier, and an inverter. VAR control is fixed at the
19 inverter output. A preferred method is to fix this set point such that the output VAR load
20 is at a minimum. This requires that the power factor be set to unity. Alternately, the VAR
21 load can be set to provide a slight leading power factor to help compensate for any
22 external transformer VAR's. In either case, this power factor is fixed and not adjusted
23 dynamically. AC power is transmitted from the individual wind turbines 710 through an
24 electrical collection system 720. The collection system can include underground and/or
25 overhead electrical conductors, transformers, junction boxes, filters, and a variety of
26 other electrical devices. Power transmitted through the collection system 720 is at
27 substantially unity power factor. The power from the wind farm is collected at a
28 substation 730. Any VAR control necessary on a wind farm basis is provided at the
29 substation level or alternately can be located in sub-modules distributed throughout the
30 wind farm itself. The advantage of having a unity power factor is that less current is
31 required for a given power output thereby lowering the losses that are incurred when the

1 power factor is not set to unity. This reduces the size requirements for conductors,
2 transformers, and other equipment in a wind farm's electrical collection system 720. The
3 substation 730 includes a dynamic VAR controller 740 to provide power to the utility at
4 the power factor required by the utility.

5 From the above description, it will be apparent that the invention disclosed herein
6 provides a novel and advantageous variable speed wind or water turbine. The forgoing
7 discussion discloses and describes merely exemplary methods and embodiments of the
8 present invention. As will be understood by those familiar with the art, the invention may
9 be embodied in other specific forms without departing from the spirit or essential
10 characteristics thereof. For example, special staging algorithms for generators may be
11 devised as dictated by specific generator equipment rather than the algorithm approaches
12 identified herein. Furthermore several inter-related features have been described and it is
13 intended that each feature be included within the scope of the patent in relation to the
14 other features, independently, or as a feature of a different system. For instance, active
15 damping of main-shaft vibrations may be employed on a turbine without multiple
16 generators or with a different power electronics or control configurations. Therefore, it is
17 intended that the invention not necessarily be limited to the particular embodiments
18 described and illustrated herein.

19 The invention has been described with reference to a circular gear having gear
20 teeth around a perimeter of said circular gear, the circular gear being coupled to a main
21 input shaft that is driven by a source of energy. It will be understood by those skilled in
22 the art that the main input shaft may be fitted directly onto the circular gear, or the main
23 input shaft may be indirectly linked to the circular gear. For example, a reciprocating
24 main input shaft that imparts rotational motion to said circular gear or the main input
25 shaft may be combined with other gears or linkages to impart rotational motion to said
26 circular gear.

27 It will also be understood by those skilled in the art that whereas the invention is
28 described with reference to wind or water current sources of power, and wind or water
29 farms, other sources of power may be utilized to impart torque to the main input shaft:
30 fossil fuels, such as diesel motor-generator sets and gas turbines; nuclear fuels, such as
31 steam turbines for nuclear power plants; solar energy; bio-energy technologies, such as

1 making use of renewable plant material animal wastes; and industrial waste; thermal
2 energy;. automotive energy, such as electric cars; tunnel boring equipment; mining
3 equipment; micro-turbines, such as those using natural gas, gas from landfills or digester
4 gas; marine drives; and heavy equipment with a low speed drive.

5 While the invention has been particularly shown and described with reference to
6 preferred embodiments thereof, it will be understood by those skilled in the art that the
7 foregoing and other changes in form and detail may be made therein without departing
8 from the scope of the invention.

9 What is claimed is: